50 W. WASHINGTON ST., SUITE 209
CHICAGO, ILLINOIS 60601
TELEPHONE: 312 814-2206
LCC.LICENSING@ILLINOIS.GOV

300 W. JEFFERSON ST., SUITE 300
SPRINGFIELD, ILLINOIS 62702
TELEPHONE: 217 782-2136
WEBSITE: ILCC.Illinois.gov

# APPLICATION FOR STATE OF ILLINOIS RETAILER'S LIQUOR LICENSE 

# REMEMBER: YOU CANNOT PURCHASE OR SELL ALCOHOL WITHOUT A VALID STATE LIQUOR LICENSE 

DEFINITION: A Retailer's Liquor License shall allow the licensee to sell and offer for sale at retail, only at the premises specified in such license, alcoholic liquor for use or consumption, but not for resale in any form; provided that any retail liquor license issued to a manufacturer shall only permit such manufacturer to sell alcoholic beverages at retail on the premises actually occupied by such manufacturer [235ILCS $5 / 5-1(d)]$, the only exception being a wine-maker's retail license-2nd location [235 ILCS 5/5-1(i)]. All applicants for licensing as a liquor retailer must complete this application. Respond to all questions on the application and furnish all required supporting documents. Failure to do so will result in the rejection of the application and non-issuance of a state liquor license.

RETAILER'S LIQUOR LICENSE
FEE:
$\$ 750.00$

Effective September 1, 2020 all new applicants will have the option to email their new liquor license applications and supporting documents, along with a copy of the payment to be mailed in to LCC.Licensing@illinois.gov for review and processing.

License fee payments shall be made by check or money order through the mail within 3-7 business days to the Illinois Liquor Control Commission 50 W Washington St Suite 209, Chicago, IL or 300 W Jefferson Suite 300, Springfield, IL. 62702.

The following documents and information are REQUIRED prior to receiving for your state license:

1) Photocopy of current Local Liquor License (contact your Local Liquor Commission)
2) Photocopy of Certificate of Insurance (not the Policy Declaration) if alcohol will be consumed on the premise;
3) Proof of Purchase (e.g., bill of sale, closing statement, lease, recorded deed) IMPORTANT: You must present proof that the applicant (e.g., corporation, LLC, partnership, or sole proprietor) has the right to possession of the property. If there is an existing state liquor license on the premises, you will need to provide a copy of the bill of sale for the business and any inventory (Brand Name, Bottle Size \& Quantity) purchased.
Note: The closing on the purchase of the business must occur prior to applying for your state license
4) Check or Money Order payable to: ILLINOIS LIQUOR CONTROL COMMISSION (ILCC).

## Processing time for a Retailer Liquor License is approximately 3-10 business days

NOTE: The date of expiration of your initial Illinois license will coincide with the 12-month period that begins on the issue date of your local liquor license. In some cases, the term of your first year's Illinois liquor license may be less than a full year in duration.

| LICENSE NO. |
| :---: |
|  |

## Application for City of Lexington Retailer's Liquor License

## 1. APPLICANT - CORPORATE INFORMATION

## A. FEIN

Enter your Federal Employer Identification Number (FEIN) in this box. The FEIN is a nine-digit number issued by the Internal Revenue Service. This number is used for verification purposes only. If you do not have a FEIN, call $1800829-3676$ for general information on how to apply for and obtain the forms you need.
B. ILLINOIS SALES TAX ACCOUNT ID

Enter the eight-digit Illinois Department of Revenue Sales Tax Account ID. YOU MUST HAVE THIS NUMBER IN ORDER FOR A LICENSE TO BE ISSUED. If you need to obtain this number, visit tax.illinois.gov, click on "Businesses" and then "How do I Register" under the Business Registration section. If you have any questions, call 217 785-3707.

If you want your renewal application, your license certificate and other ILCC correspondence sent to your corporate address, please check this box.

C. NAME

Enter the name of the sole proprietorship, partnership, corporation (Illinois, national, or foreign), or limited liability company in this box.
Note: This name must be consistent with the name printed on your local liquor license and on your Illinois Department of Revenue Sales Tax Registration Certificate.
NAME
D. MAILING ADDRESS/PHONE (if different than physical location address/phone)

Enter the mailing address if different than physical location addres. Include: street address, county, city, state, ZIP code, telephone number (with area code and extension, if applicable) of the sole proprietorship, corporation, etc.


## E. CURRENT RETAIL LIQUOR LICENSES IN OTHER STATES

Do you currently hold five or less retail liquor licenses in another state(s)? If yes, please provide the following information for each out-of-state retail liquor license.
$\qquad$ CITY $\qquad$ STATE $\qquad$
CITY $\qquad$ STATE $\qquad$
$\qquad$
BUSINESS NAME
CITY $\qquad$ STATE $\qquad$

## 2. STATUS OF BUSINESS

Check the applicable box (sole proprietorship, partnership, Illinois corporation, foreign corporation, or limited liability company) which corresponds to your business' official papers filed with the Office of the Illinois Secretary of State.

Based on the box that you check, provide: the date of the filing of the sole proprietorship with the county clerk; in the case of a partnership, the date of formation of the partnership; in the case of an Illinois corporation, the date of its incorporation; in the case of a foreign corporation, the foreign state where it was incorporated and the date, as well as the date of its becoming qualified under the "Business Corporation Act of 1983 " to transact business in the State of Illinois; or in the case of a limited liability company, the date of formation of such entity.

## Note: In the case of a sole proprietorship, Section 5/6-2 of the Illinois Liquor Control Act requires that the business owner reside within the jurisdiction that grants the local liquor license. Drivers License copy required.

A. $\square$Sole Proprietorship
F.Not-For-Profit
B.Partnership
G.Government
H.Receivership
D. Illinois Corporation
I.Trust/Estate

Date filed with County Clerk:
Date of Formation:
Date of Incorporation:
State of Incorporation:
IL Secretary of State File \#:
Date Qualified to do Business in IL: $\qquad$

## 3. OWNERSHIP INFORMATION

Provide the owner/officer/partner information in accordance with the business status described under Question 2. This information must be submitted for all owners/officers/partners. The same information must be submitted for shareholders with interests equal to or exceeding five percent.
The following information must be provided for each individual applicant, sole proprietor, partner, corporate officer or director (whether or not they own any stock), shareholder owning in the aggregate stock equal to or more than five percent (including officers, directors and shareholders with stock equal to or more than five percent for all corporate shareholders), and/or manager or agent conducting the business. Indicate the total percentage of stock of the corporation, if any, which is held by persons who hold less than a five percent interest. All not-for-profit organizations and associations must provide the requested information for all corporate officers, directors and managers. If additional space is needed, provide information on a separate sheet(s) in the same format as this application. BEFORE COMPLETING THIS SECTION, CHECK QUESTION NO. 7 - ELIGIBILITY.

For each owner/officer/partner/five percent shareholder, provide full name, home address, city, state, ZIP Code, Social Security number, date of birth, sex, title/position, home telephone number, and percentage ownership. Total percentage ownership should equal 100 percent. If there are a number of shareholders owning less than five percent, indicate the aggregate total of ownership under Line E .
A.

| NAME (LAST, FIRST, MIDDLE INITIAL) | HOME ADDRESS | CITY | STATE | ZIP |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |
| SOCIAL SECURITY NO. | DATE OF BIRTH | SEX | TITLE/POSITION |  |  |  |
|  |  |  |  | AREA CODE/HOME TELEPHONE NO. | \% OWNED |  |

B.

C.

D.

|  |  |  | HAME (LAST, FIRST, MIDDLE INITIAL) |  | CITY | STATE |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| ZIP |  |  |  |  |  |  |
| SOCIAL SECURITY NO. | DATE OF BIRTH | SEX | TITLE/POSITION |  |  |  |
|  |  |  |  | AREA CODE/HOME TELEPHONE NO. | \% OWNED |  |

E. Total percentage of all stock held by all persons with less than five percent interest.

## 4. BUSINESS LOCATION INFORMATION

$\square$
If you want your renewal application, your license certificate and other ILCC correspondence sent to your business location address, please check this box.

## A. NAME/DOING BUSINESS AS (DBA)

Enter the name of the business which will be selling or serving alcoholic beverages at the licensed premises. Note: This name must be consistent with the name printed on your local liquor license and on your Illinois Department of Revenue Sales Tax Registration Certificate.

| NAME/DOING BUSINESS AS (DBA) |
| :--- |

B. TELEPHONE

Enter the area code, telephone number and extension at the business location.

| AREA CODE/TELEPHONE NO. |  |
| :--- | :--- |
| $(\quad)$ | EXT. |

C. ADDRESS

Enter the address, city, state, ZIP Code and county of the business location. This address must be consistent with information on your local liquor license and on your Illinois Department of Revenue Sales Tax Registration Certificate.
Remember, you MUST close on the business purchase prior to applying for your state license. Proof of business purchase is required (e.g., bill of sale, closing statement). IMPORTANT: You must present proof that the applicant (e.g., corporation, LLC, partnership, or sole proprietorship) has the right to possession of the property (e.g., deed or lease). If there is an existing state liquor license on the premises, this license should be surrendered (if available). The applicant also needs to provide the State of Illinois Liquor Commission with a Bulk Sales Release Order (Address Release) if applicable. For more information, contact the Illinois Department of Revenue at REV.BulkSales@illinois.gov

| ADDRESS | CITY |  | STATE | ZIP CODE | COUNTY |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |

D. BUSINESS TYPE

Check the one box which best describes the type of business. If the selections listed are inappropriate, describe the business under "other".
A. $\square$ DRUG STORE/PHARMACY
E. $\square$ LIQUOR STORE
I. $\square$ CONVENIENCE \& GAS
B. $\square$ RESTAURANT
F. $\square$ DEPARTMENT STORE
J. $\square$ SMALL GROCERY
C. $\square$ CONVENIENCE
G. B BAR/TAVERN
K. - GAS STATION
D. $\square$ SUPERMARKET
H. $\square$ HOTEL/MOTEL
L. $\square$ OTHER
$\qquad$
E. WAREHOUSING

If any of your inventory is warehoused, provide the street address, city, state, ZIP code and county of the warehouse.

| ADDRESS | CITY |  | STATE | ZIP CODE | COUNTY |
| :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |

## F. RIGHTS TO THE PROPERTY

$\square$ I hereby certify that the property is owned by the applicant
$\square$ I hereby certify that the property is leased from the landlord
$\square$ I hereby certify that the property is managed via an operating or managment agreement


## 5. LOCAL LICENSE INFORMATION/LIQUOR LICENSE HISTORY

A. LOCAL LIQUOR LICENSE INFORMATION

YOU MUST PROVIDE A PHOTOCOPY OF YOUR LOCAL LIQUOR LICENSE
Your local license must contain the expiration date, issue date, and license number.
Please enter the local liquor license number, the date it was issued, the date it expires, the municipality or county that issued the license and the date you intend to begin selling alcoholic beverages at this business location. Alcoholic beverages may not be sold or offered for sale prior to the date that the state liquor license is issued. If you began selling alcoholic beverage products before obtaining this license, you are required to fill out a deliquency affidavit to explain the circumstances. Note: In unincorporated areas, the county acts as the local liquor licensing authority.

| MUNICIPALITYICOUNTY ISSUING LOCAL LIQUOR LICENSE | LOCAL LICENSE NO. | DATE ISSUED | EXPIRATION DATE | DATE YOU BEGAN LIQUOR SALES AT THIS LOCATION |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |

## B. FIRST LICENSE APPLICATION - LICENSE HISTORY

Indicate by checking the correct box whether or not this is the applicant's first application for a state liquor license at any location. If you check "no", indicate the date of your first state liquor license application; whether the license was granted, denied or withdrawn; and the address of your first state liquor license application. If you have ever had a license application denied, or if you ever withdrew an application, please provide a written statement describing the reason and circumstances.

IS THIS YOUR FIRST STATE LICENSE APPLICATION? YES $\square$ NO $\square$
IF NO, PROVIDE DATE FIRST APPLIED: $\qquad$
DISPOSITION: GRANTED $\square \quad$ DENIED $\square \quad$ WITHDRAWN $\square$
ADDRESS OF FIRST STATE APPLICATION: $\qquad$
C. TYPE OF LIQUOR LICENSE

Check the box which describes the manner in which you sell alcoholic beverages to consumers. This information must be consistent with your approval granted by the local liquor licensing authority.
$\square$ ON-PREMISES CONSUMPTION (patrons consume alcoholic beverages on the premises only)
$\square$ OFF-PREMISES CONSUMPTION (carry-out purchases only)
$\square$ ON/OFF-PREMISES CONSUMPTION COMBINATION (both on the premises consumption and carry-outs)
D. AUTHORIZED HOURS

These hours must be the hours authorized by the local municipality (or county if in an unincorporated area):

| MON | TUES | WED | THUR | FRI | SAT |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |

## E. AVAILABLE HOURS

These hours indicate when a representative is available for an inspection of the premises:

| MON | TUES | WED | THUR | FRI | SAT | SUN |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |  |  |

## F. EXPECTED OPENING DATE

WHAT IS THE FIRST DAY YOU EXPECT TO BE OPEN AND SELLING ALCOHOL?

## 6. CERTIFICATE OF INSURANCE

## ATTACH A PHOTOCOPY OF YOUR CERTIFICATE OF INSURANCE (not the Policy Declaration)

You MUST provide a copy of your Certificate of Insurance if alcohol is consumed on the premises (this certificate is not required for carry-out only establishments). The Certificate of Insurance must show that you have liquor liability insurance and must include the following: 1) the applicant named as the insured (e.g., if the applicant is a corporation, then the corporation's name must be listed; if the applicant is a sole proprietor, then the sole proprietor's name must be listed); 2) the address of the location where the liquor is being consumed; and 3 ) the dates of coverage and the coverage limits.

## 7. ELIGIBILITY QUESTIONS

The questions below pertain to the applicant and any other person listed under "Corporate Officer/Ownership Information" listed on page 3 of this form. IF ANY QUESTIONS ARE ANSWERED WITH A "YES" ATTACH A FULL WRITTEN EXPLANATION TO THIS DOCUMENT.

| 7A | $\square$ | YES | $\square$ | NO |
| :--- | :--- | :--- | :--- | :--- | Are you delinquent in the payment of any Illinois business taxes (sales, withholding, etc.)? [235 ILCS 5/6-3]

## 8. VIDEO GAMING

Do you possess a current Illinois Video Gaming License? If YES, please provide the information below: VIDEO GAMING LICENSE NUMBER: $\qquad$

Have you made an application for an Illinois Video Gaming License that is currently pending? If YES, please provide information below:

VIDEO GAMING NUMBER APPLICATION NUMBER:
DATE APPLIED: $\qquad$

## 9. APPLICANT CONTACT INFORMATION

Provide the contact information for your business. The contact person should be the responsible party we can contact and who can answer questions on behalf of the business. The mobile or alternate number should be in addition to any business numbers on file. The email address should be the active email address for the business, not the personal email address of the contact person.

| CONTACT PERSON'S NAME (First, Last) | BUSINESS PHONE NUMBER | ALTERNATE PHONE NUMBER (Home, Cell, etc.) |
| :---: | :---: | :---: |
|  | ( ) | ( ) |
| EMAIL ADDRESS |  | FAX NUMBER |
|  |  | ( ) |

## 10. SIGNATURE/TITLE/DATE

Please sign and date the application form and provide your title with the organization. The application must be signed by an owner, an officer, or partner. The signature must be original. Rubber stamps, photocopies, or faxed copies are not accepted.

I, THE UNDERSIGNED APPLICANT OR AUTHORIZED AGENT THEREOF, SWEAR OR AFFIRM THAT: THE MATTERS STATED IN THE FOREGOING APPLICATION ARE TRUE AND CORRECT; THEY ARE MADE UPON MY PERSONAL KNOWLEDGE AND INFORMATION; THEY ARE MADE FOR THE PURPOSE OF REQUESTING THE STATE OF ILLINOIS TO ISSUE THE LICENSE HEREIN APPLIED FOR; THE APPLICANT IS QUALIFIED AND ELIGIBLE TO OBTAIN THE LICENSE APPLIED FOR; AND THE APPLICANT WILL NOT VIOLATE ANY OF THE LAWS OF THE UNITED STATES OF AMERICA OR THE STATE OF ILLINOIS, IN PARTICULAR, THE ILLINOIS LIQUOR CONTROLACT, RULES AND REGULATIONS, AND THE CIVIL RIGHTS SECTIONS THEREOF.

FURTHER, I AGREE TO NOTIFY THIS COMMISSION WITHIN 30 WORKING DAYS OF CHANGES IN ANY OF THE ABOVE INFORMATION. (NOTE: IF THE PERSON SIGNING THIS APPLICATION IS NOT LISTED IN SECTION 3, THEY MUST PROVIDE THE STATE WITH THEIR PERSONAL INFORMATION AS INDICATED IN SECTION 3 EVEN IF THEY DO NOT OWN FIVE PERCENT OR MORE OF THE BUSINESS).

